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TO	COMPANY NAME	FAX NO.	PHONE NO.
Ms. Wynette Stapor	U.S. Patent & Trademark Office	571-273-1626	571-272-1626

TOTAL NO. OF PAGES	10 (including cover sheet)
FROM	David L. Schaeffer, Esq., Reg. No. 32,716
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ROOM NO.	3869
ATTORNEY NO.	3551
CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/996,986
CLIENT/MATTER NO.	405507/0006

MESSAGE

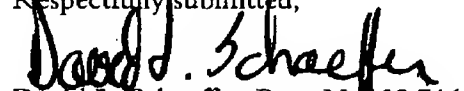
Dear Ms. Stapor:

As discussed earlier today, annexed please find the following documents:

Request for Priority (filed 11/30/01);  
Information Disclosure Statement (filed 11/30/01); and  
Information Disclosure Statement (filed 2/13/04).

Please call me with any questions.

Respectfully submitted,

  
David L. Schaeffer, Reg. No. 32,716

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212-806-6102.

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DEC 19 2006

Docket No. 212752US2 RE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshihisa SARUTA

GAU:

SERIAL NO. NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: PRINTER AND INK CARTRIDGE ATTACHED THERETO

## REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/449,731, filed November 26, 1999, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.


In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	10-336330(P)	November 26, 1998
JAPAN	10-336331(P)	November 26, 1998
JAPAN	10-367490(P)	December 24, 1998
JAPAN	11-3993(P)	January 11, 1999
JAPAN	11-296024(P)	October 18, 1999

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ were filed in prior application Serial No. 09/449,731, filed November 26, 1999.
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/TB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.
  
 Gregory J. Maier  
 Registration No. 25,599

 Surinder Sachar  
 Registration No. 34,423


22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

H:\REISSUE\212752.PD.wpd

Docket No. 212752US2 RE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: TOSHIHISA SARUTA

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: PRINTER AND INK CARTRIDGE ATTACHED THERETO

GAU:

EXAMINER:

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.151  
\_\_\_\_\_  
Gregory J. Maier

Registration No. 25,599

Surinder Sachar

Registration No. 34,423



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(OSMMN 10/98)

DEC 19 2006

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 212752US2 RE		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT TOSHIHISA SARUTA			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,138,344	8-11-92	UJITA			
	AB	RE. 36,279	8-24-99	UJITA			
	AC	5,365,312	11-15-94	HILLMANN ET AL			
	AD	5,610,635	3-11-97	MURRAY ET AL			
	AE	5,646,660	7-8-97	MURRAY			
	AF	5,788,388	8-4-98	COWGER ET AL			
	AG	5,835,817	11-10-98	BULLOCK ET AL			
	AH	5,861,897	1-18-99	IDE ET AL			
	AI	5,975,677	11-2-99	MARLER ET AL			
	AJ	6,109,723	8-29-00	CASTLE ET AL			
	AK	6,126,265	10-3-00	CHILDERS ET AL			
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2594912	12-19-96	JAPAN	XX		
	AP	62-184856	8-13-87	JAPAN	XX		
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
* Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Docket No.  
405507/0006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Toshihisa Saruta

Group Art Unit: 2861

Application No.: 09/996,986

Examiner: Anh T. N. Vo

Filed: November 30, 2001

For: **PRINTER AND INK CARTRIDGE ATTACHED THERETO**

Date: February 13, 2004

**CERTIFICATE OF MAILING**  
**BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

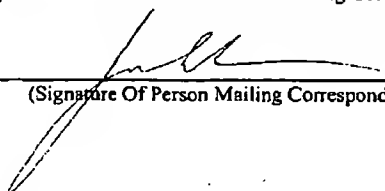
**Supplemental Information Disclosure Statement (2 pgs.); Form PTO/SB/08a+b (3 pgs.); 7 references and 4 Office Actions; return postcard**

is being deposited on February 13, 2004 with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.

Jeong Eun Lee

(Typed Or Printed Name Of Person Mailing Correspondence)

  
(Signature Of Person Mailing Correspondence)

SSL-DOCS1 1426725 v.1

Atty. Docket No.: 40550 0006

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Toshihisa Saruta

Serial No.: 09/996,986

Art Unit: 2861

Filed: November 30, 2001

Examiner: Anh T. N. Vo

For: PRINTER AND INK CARTRIDGE ATTACHED THERETO

February 13, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A+B). Copies of the listed references are also enclosed.

The cited references were called to Applicants' attention in Office Actions in Japanese Patent Application Nos. 2002-152154, 2002-179601, 2002-152146 and 2002-171460, which are counterparts to the instant case. English translations of the Japanese Office Actions are submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of relevance of the cited art.

It should be noted that cited JP 4-500482 is a counterpart to U.S. Patent No. 5,365,312, already of record in this case.

SSL-DOCSI 1426566v1

Application No. 9/996,986  
Information Disclosure Statement dated February 13, 2004

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For the Examiner's convenience, English abstracts of the cited Japanese references are also provided, except for JP 4-500482, for which an English abstract was not available.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(b)(3), to the best of the undersigned's knowledge, before the mailing of an Office Action, and so no fee is believed to be due.

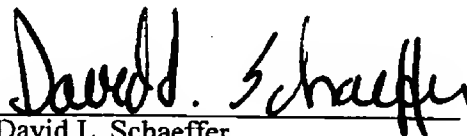
Nevertheless, the Commissioner is authorized to charge any fee which may be required for the filing of this Information Disclosure Statement, as well as any other fee which may now or hereafter be due, to Deposit Account No. 19-4709.

#### CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A+B) be returned indicating that such information has been considered.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,

By 

David L. Schaeffer  
Reg. No. 32,716  
Attorney for Applicant  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038-4982  
(212) 806-5400







DEC 19 2006

PTO/SB/08b (08-03)

Approved for use through 06/30/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 3

**Complete if Known**

Application Number	09/996,986
Filing Date	November 30, 2001
First Named Inventor	Toshihisa Saruta
Art Unit	2861
Examiner Name	Anh T N Vo
Attorney Docket Number	405507/0006

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Japanese Office Action mailed 9/16/2003, for Application Serial Number 2002-152154	
		Japanese Office Action mailed 9/16/2003, for Application Serial Number 2002-179601	
		Japanese Office Action mailed 10/28/2003, for Application Serial Number 2002-152146	
		Japanese Office Action mailed 10/28/2003, for Application Serial Number 2002-171460	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SSL-DOCS1 1426578 v.1